# Stocktaking of the Commission's 'better regulation' approach

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#### Introduction

The European Commission is committed to being 'big on big things' and smaller on things where EU action does not add value. To help to deliver on this commitment, the Commission has put in place a 'better regulation' agenda based on three key pillars: stakeholder engagement throughout the policy cycle; evaluation to ensure that the current body of EU law remains fit for purpose; and impact assessment to ensure that new proposals reach their policy goals in the most efficient way without imposing unnecessary burdens.

Since 2015, the Commission has revamped the 'better regulation' framework to make it more effective. The results of this revision include:

- further efforts to increase the transparency, legitimacy and accountability of our work, in particular
  as regards the consultation process throughout the policy cycle, including the possibility for the
  general public and interested parties to provide feedback on proposals, and increased availability of
  languages
- an independent Regulatory Scrutiny Board which checks the quality of the Commission's impact assessments and major evaluations
- a new online tool 'Lighten the Load' which enables those affected by EU legislation to put forward their views, plus any criticisms and ideas for improvement they may have, so as to simplify and improve existing EU laws
- a platform of experts including representatives of NGOs, interest groups and national governments
   the 'REFIT platform' to advise the Commission on how to make EU laws simpler and less costly without watering down the intended objectives

The Commission is aware that further improvements can be made. We would like to hear your views on those aspects of the better regulation framework that work well and those where you think it should be improved.

The results of this public consultation will inform the Commission stocktaking of its better regulation framework which it will publish in Spring 2019.

The questionnaire is divided into 7 short sections. Most questions are optional. You can upload a position paper at the end should you so wish.

Relevant links:

- the Commission's 2017 communication on <u>'Completing the Better Regulation Agenda: Better</u> solution for better results'
- the Commission's better regulation agenda
- the Commission's better regulation guidelines and toolbox
- the Commission's central consultation page ('Have your say')

- Regulatory Scrutiny Board
- the Commission's REFIT Programme
- REFIT platform
- Task Force on Subsidiarity, Proportionality and 'Doing Less More Efficiently'

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<b>*</b> 3	La	ast name
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*5	C	ountry of residence
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	0	Belgium
	0	Bulgaria
	0	Croatia
	0	Cyprus
	0	Czech Republic
	0	Denmark
	0	Estonia
	0	Finland
	0	France
	0	Germany
	0	Greece
		Hungary
		Ireland
		Italy
		Latvia
		Lithuania
		Luxembourg
		Malta

Netherlands
O Poland
O Portugal
Romania
Slovakia
Slovenia
Spain
Sweden
<ul> <li>United Kingdom</li> </ul>
Other
*6 If "other", please specify:
*7 Your contribution,
Note that, whatever option chosen, your answers may be subject to a request for public access to documents under <a href="Regulation">Regulation</a> (EC) <a href="Regulation">N°1049/2001</a>
can be published with your personal information (I consent the publication of all information in my contribution in
whole or in part including my name or my organisation's name, and I declare that nothing within my response is unlawful or would
infringe the rights of any third party in a manner that would prevent publication)
can be published provided that you remain anonymous (I consent to the publication of any information in my
contribution in whole or in part (which may include quotes or opinions I express) provided that it is done anonymously. I declare
that nothing within my response is unlawful or would infringe the rights of any third party in a manner that would prevent the
publication.
*8 Respondent's first name
*O. Doomondontto loct name
*9 Respondent's last name
*10 Respondent's professional email address
*11 Name of the organisation

\*12 Postal address of the organisation

*40. Time of approximation
*13 Type of organisation
Please select the answer option that fits best.  Private enterprise
Professional consultancy, law firm, self-employed consultant
Trade, business or professional association  Trade, business or professional association
Non-governmental organisation, platform or network
Research and academia
Churches and religious communities
Regional or local authority (public or mixed)
International or national public authority
Other
Other
*14 If "other", please specify:
The state of the s
*15 How many employees does the company have?
More than 250 employees (Large enterprise)
<ul> <li>Between 50 and 250 employees (Medium-sized enterprise)</li> </ul>
Between 10 and 49 employees (Small enterprise)
<ul><li>Less than 10 employees (Micro enterprise)</li></ul>
Self-employed (Micro enterprise)
*16 Please specify the type of organisation.
Chamber of commerce
<ul><li>Business organisation</li></ul>
Trade Union
<ul> <li>Representative of professions or crafts</li> </ul>
Other
*17 If "other", please specify:
*18 Please specify the type of organisation.
Think tank
Research institution
Academic institution
*19 Please specify the type of organisation.

Regional public authority	
Local public authority	
<ul> <li>Public-private sub-national organisation</li> </ul>	
Network of public sub-national authorities	
Other	
*20 If "other", please specify:	
*O1 Disease amonify the time of auromination	
*21 Please specify the type of organisation.	
Intergovernmental organisation	
EU institution, body or agency	
National parliament	
National government	
<ul> <li>National public authority or agency</li> </ul>	
*22 Is your organisation included in the Transparency Register?	
If your organisation is not registered, we invite you to register here, although it is not compulsory to be registered to reply to this	
consultation. Why a transparency register?	
O Yes	
O No	
Not applicable	
*23 If so, please indicate your Register ID number.	
20 II 30, picase maicate your riegister ib number.	
*24 Country of organisation's headquarters	
O Austria	
Belgium	
Bulgaria	
Croatia	
O Cyprus	
Czech Republic	
Denmark	
© Estonia	
© Finland	
© France	
© Germany	
© Greece	
Hungary	
O Ireland	
O Italy	
Latvia	

C Lithuania
Luxembourg
Malta
Netherlands
Poland
Portugal
Romania
Slovak Republic
Slovenia
Spain
Sweden
United Kingdom
Other
*25 If "other", please specify:
*26 Your contribution,
Luxembourg  Malta  Netherlands  Poland  Portugal  Romania  Slovak Republic  Slovenia  Spain  Sweden  United Kingdom  Other  25 If "other", please specify:
would prevent the publication.
1. The Commission and better regulation – general guestions
- The Commission and Setter regulation general questions
Into more detail).
Don't know
*28 Are you satisfied with how the Commission involves members of the public, businesses, non-

governmental organisations and other interest groups? Yes, very satisfied

Yes, satisfied
Neither satisfied nor dissatisfied
No, dissatisfied
No, very dissatisfied
O Don't know
*29 Does the Commission provide enough evidence (e.g. evaluations, impact assessments) to back up its proposals?
Yes, always
Yes, mostly
Partially
No, not usually
No, not at all
O Don't know
*30
Does the Commission take environmental and social impacts sufficiently into account when putting forward policy proposals (in addition to economic impacts)?
Yes, always
Yes, mostly
Partially
No, not usually
No, not at all
O Don't know
*31 Does the Commission take <u>subsidiarity</u> and the role of national, regional, and local authorities
sufficiently into account when putting forward policy proposals?
○ Yes, always
Yes, mostly
Partially
No, not usually
No, not at all  Don't know
On t know
*32 Are you satisfied with the Commission's efforts to simplify existing EU laws and to reduce costs where possible (REFIT)?
Yes, very satisfied
Yes, satisfied
Neither satisfied nor dissatisfied
No, dissatisfied
No, very dissatisfied
O Don't know
33 Please feel free to explain your answers. We would like to know what works well (and should
be kept) and what doesn't (and needs review).

3000 character(s) maximum

#### 2. Consulting the public and interested parties

When preparing new or revising existing laws and regulations, the Commission asks interested parties for their ideas and views as well as for factual information. The idea is to give those likely to be affected by EU policies an opportunity to be heard.

Members of the public and representatives of interest groups can provide input throughout the policymaking process in a number of ways (all of which you can find on the Commission's central consultation page, Have Your Say). They can:

- comment on roadmaps and inception impact assessments (these documents present the Commission's initial ideas, announce the launch of an impact assessment process or explain its absence and also provide an overview of the planned public and targeted consultations)
- participate in public consultations
- comment on legislative proposals
- comment on draft delegated or implementing acts (these acts complement existing laws to update them or to help implement them)
- suggest ways to improve existing laws, via the 'Lighten the Load' tool

Individual Commission departments also regularly hold targeted consultations of stakeholders through events, working groups, or questionnaires published on the respective department's web page or sent to experts.

The aim of this section is to identify what parts of the stakeholder consultation processes are working well and find out how the Commission can improve them further.

*34 Are roadmaps and inception im	pact assessments	useful to help	you prepare you	r participation
in the policy-making process?				

- Yes, fully
- Yes, mostly
- Partially
- No, mostly not
- No, not at all
- Don't know

#### 35 Please feel free to explain your answer.

2000 character(s) maximum

- [		
- 1		

## 36 Are you satisfied with the following opportunities to contribute to the policy-making process?

	Yes, very satisfied	Yes, satisfied	Neither satisfied nor dissatisfied	No, dissatisfied	No, very dissatisfied	Don't know	I am not aware of this tool / opportunity
*Opportunity to comment on roadmaps and inception impact assessments	•	•	•	•	•	0	•
* Public consultations	0	0	0	0	0	0	0
*Opportunity to comment on draft delegated and implementing acts	•	•	©	•	•	•	•
*Opportunity to comment on Commission legislative proposals	0	•	©	•	•	0	•

*Opportunity to suggest ways to improve existing laws (Lighten the Load)	•	©	•	•	•	•	•	
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37 Please feel free to explain your answer.							
2000 character(s) maximum							

## Are you satisfied with the following aspects of the Commission's $\it public$ consultations?

	Yes, very satisfied	Yes, satisfied	Neither satisfied nor dissatisfied	No, dissatisfied	No, very dissatisfied	Don't know
*Clarity of questionnaires	0	0	0	0	0	0
*Length of questionnaires	0	0	0	0	0	0
* Neutrality of questionnaires	0	0	0	0	0	0
*Opportunity to make relevant comments or provide supporting material	0	0	0	0	•	0
* Availability of different language versions	0	0	0	0	0	0
*Length of consultation period (12 weeks)	0	0	0	0	0	0

2000 character(s) maximum	n your answer.
JOO CHAFACIEI(S) IIIAXIIIIUIII	
Are you satisfied with no     id the other opportunities to     id.	ow the Commission reports on the results of its public consultations o comment?
Yes, very satisfied	
Yes, satisfied	
Neither satisfied nor dissat	isfied
No, dissatisfied	
No, very dissatisfied	
Don't know	
_	leas for improving the Commission's stakeholder consultation
ractices? We would like to he	ear examples of good practice from both EU and non-EU countries.
3000 character(s) maximum	

## 3. Evaluating existing EU laws

The Commission regularly assesses how well existing EU measures - laws, policies, and financial programmes, for instance – are working.

An assessment of existing EU measures is called an 'evaluation' (and, where several EU measures are examined collectively, a 'fitness check'). Assessments enable the Commission to decide whether particular EU measures are still justified, or whether they need to be simplified or improved (e.g. to cut out unnecessary regulatory costs or inconsistencies, adapt measures to take account of new developments, make them work better, or even repeal them).

The REFIT programme and the REFIT platform help the Commission identify the areas where it needs to focus its efforts, to simplify legislation and reduce any burdens caused by EU action. The state of play of such initiatives are tracked by the REFIT Scoreboard.

## 43 Are you satisfied with the following aspects of the Commission's evaluations?

	Yes, very satisfied	Yes, satisfied	Neither satisfied nor dissatisfied	No, dissatisfied	No, very dissatisfied	Don't know
*Transparent assessment of what works and what doesn' t	0	0	•	0	0	0
*Usefulness of evaluations for policy-making	0	0	0	0	0	0
*Transparent information about all relevant impacts (benefits and costs) of existing legislation	0	0	•	0	•	•
*Focus on simplification and cutting unnecessary costs ('REFIT programme')	•	•	©	©	•	•

000 character(s) maximum	
5 Is the REFIT platform	effective in identifying areas where legislation can be simplified and
	le preserving policy objectives?
Yes, fully	
Yes, mostly	
Partially	
No, not usually	
No, not at all	
Don't know	
	er ideas about how to improve the Commission's evaluations? Please of good practice from both EU and non-EU countries.
el free to share examples o	
el free to share examples o	
el free to share examples o	er ideas about how to improve the Commission's evaluations? Please of good practice from both EU and non-EU countries.

## 4. Assessing new Commission proposals

Impact assessments support the Commission's policy proposals. They assess:

- the pros and cons of a range of policy options designed to address one or more problems, using evidence from previous evaluations and consultations
- conformity with the principles of <u>subsidiarity</u> and <u>proportionality</u>
- potential for simplifying existing legislation and cutting any unnecessary regulatory costs, in line with the Commission's REFIT programme.

All impact assessments are published on a <u>central web page</u>. Members of the public and people with a special interest in the issue at hand can comment on impact assessments accompanying legislative proposals.

## $48\,$ Are you satisfied with the following aspects of the Commission's impact assessments?

	Yes, very satisfied	Yes, satisfied	Neither satisfied nor dissatisfied	No, dissatisfied	No, very dissatisfied	Don't know
*Transparent information about all the relevant impacts (benefits and costs) of different policy alternatives	•	•	•	•	•	0
* Assessment of the potential for simplifying existing legislation and cutting unnecessary costs	•	•	•	©	•	•
* Usefulness to inform the Commission's decision-making	0	•	0	0	0	0
* Usefulness to inform the European Parliament's and the Council's decision- making	•	•	•	0	•	•

49	Please feel free to explain your answer.
200	0 character(s) maximum
	Do the Commission's impact assessments analyse the most relevant and important issues? (expacts on SMEs via the SME test, etc.)
_	·
200	0 character(s) maximum
51	What more can the Commission do to justify its proposals with regard to subsidiarity and prop
	nality?
	0 character(s) maximum
	onaractor(o) maximum
52	Do you have any further ideas about how to improve the Commission's impact assessments?
	se feel free to share examples of good practice from both EU and non-EU countries.
	0 character(s) maximum
	o naractor(e) maximum
- (	arutiniaing the guality of impact accommonts and avaluations
). <b>3</b>	crutinising the quality of impact assessments and evaluations
Th	Regulatory Scrutiny Board (RSB) became operational in 2016. It is appointed by the President of the
Со	nmission. It has 7 full-time members, of which 3 are externally recruited. The Board quality controls
imp	act assessments and major evaluations. It ensures that facts and stakeholder views are fairly
	sented to decision-makers. Its opinions are published.
	·
<b>۲</b> 2	Please indicate the level of your agreement with each of the following statements:
ე <u>ე</u>	Please indicate the level of your agreement with each of the following statements:

	l strongly agree	tend to agree	I tend to disagree	l strongly disagree	Don't know
I am familiar with the Regulatory Scrutiny Board.	0	0	0	0	0
There is sufficient regulatory scrutiny of EU impact assessments and evaluations.	0	0	0	0	0
Regulatory scrutiny adds value to the overall regulatory process.	0	0	0	0	0
The Regulatory Scrutiny Board is impartial.	0	0	0	0	0
The Regulatory Scrutiny Board opinions are informative.	0	0	0	0	0
The Regulatory Scrutiny Board opinions promote evidence-based policies.	0	0	0	0	0
The Regulatory Scrutiny Board increases the quality of Commission proposals.	0	0	0	0	0
The Regulatory Scrutiny Board increases transparency of Commission policy-making.	©	0	©	0	0
The Regulatory Scrutiny Board increases accountability of Commission policymaking.	©	0	©	0	0
Do you have any comments on the Regulato O character(s) maximum	ry Scrutiny	Board?			

since 2014, if any.

at most 3 choice(s)
Transparency of the policy-making process
Consultation
Evaluation
Impact assessment
Scrutiny of regulatory proposals
How the different 'better regulation' tools work together

Other
*56 If "other", please specify:
500 character(s) maximum
57 Please select up to three gross where the Commission should make improvements in the
57 Please select up to three areas where the Commission should make improvements in the future.
at most 3 choice(s)
Transparency of the policy-making process
Consultation
Evaluation
☐ Impact assessment
Scrutiny of regulatory proposals
How the different 'better regulation' tools work together
Other
- Other
*50
*58
If "other", please specify:
500 character(s) maximum
59 How could the Commission simplify its better regulation approach to ensure the timely
development of proposals while ensuring that these continue to be based on evidence?
development of proposals while ensuring that these continue to be based on evidence?
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development of proposals while ensuring that these continue to be based on evidence?  3000 character(s) maximum  7. Document upload and final comments  60 Please feel free to upload a concise document, such as a position paper. The maximum file size is 1MB.
development of proposals while ensuring that these continue to be based on evidence?  3000 character(s) maximum  7. Document upload and final comments  60 Please feel free to upload a concise document, such as a position paper. The maximum file size is 1MB.  Please note that the uploaded document will be published alongside your response to the questionnaire
development of proposals while ensuring that these continue to be based on evidence?  3000 character(s) maximum  7. Document upload and final comments  60 Please feel free to upload a concise document, such as a position paper. The maximum file size is 1MB.
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development of proposals while ensuring that these continue to be based on evidence?  3000 character(s) maximum  7. Document upload and final comments  60 Please feel free to upload a concise document, such as a position paper. The maximum file size is 1MB.  Please note that the uploaded document will be published alongside your response to the questionnaire which is the essential input to this public consultation. The document is optional and serves as additional
development of proposals while ensuring that these continue to be based on evidence?  3000 character(s) maximum  7. Document upload and final comments  60 Please feel free to upload a concise document, such as a position paper. The maximum file size is 1MB.  Please note that the uploaded document will be published alongside your response to the questionnaire which is the essential input to this public consultation. The document is optional and serves as additional
7. Document upload and final comments  60 Please feel free to upload a concise document, such as a position paper. The maximum file size is 1MB.  Please note that the uploaded document will be published alongside your response to the questionnaire which is the essential input to this public consultation. The document is optional and serves as additional background reading to better understand your position.
7. Document upload and final comments  60 Please feel free to upload a concise document, such as a position paper. The maximum file size is 1MB.  Please note that the uploaded document will be published alongside your response to the questionnaire which is the essential input to this public consultation. The document is optional and serves as additional background reading to better understand your position.